Brown representability often fails for homotopy categories of complexes

by

GEORGE CIPRIAN MODOI AND JAN ŠŤOVÍČEK*

Abstract

We show that for the homotopy category $\mathbf{K}(Ab)$ of complexes of abelian groups, both Brown representability and Brown representability for the dual fail. We also provide an example of a localizing subcategory of $\mathbf{K}(Ab)$ for which the inclusion into $\mathbf{K}(Ab)$ does not have a right adjoint.

Key Words: Brown representability, Adjoint functor, Triangulated category, Homotopy category of complexes.

Mathematics Subject Classification 2010: 18G35 (primary), 16D90, 55U35 (secondary).

Introduction

Inspired by a result of Casacuberta and Neeman [5], we provide in this note a class of naturally occurring triangulated categories with coproducts and products, for which both Brown representability and Brown representability for the dual (in the sense of [14, Definition 8.2.1]) fail. In particular, the homotopy category of complexes of abelian groups belongs to this class.

Let us shortly explain the motivation. Given a triangulated category \mathcal{T} with coproducts and an object $Y \in \mathcal{T}$, the contravariant functor

$$\mathcal{T}(-,Y):\mathcal{T}\longrightarrow \mathrm{Ab}$$

is cohomological and sends coproducts in \mathcal{T} to products in Ab. By saying that \mathcal{T} satisfies Brown representability, we mean that the converse holds for \mathcal{T} . That is, each cohomological functor $\mathcal{T} \to Ab$ which sends coproducts in \mathcal{T} to products is naturally equivalent to $\mathcal{T}(-,Y)$ for some $Y \in \mathcal{T}$.

Dually, given a category \mathcal{T} with products, we may be interested in whether covariant Hom-functors $\mathcal{T}(Y,-)$ are characterized by the property that they are homological and send products in \mathcal{T} to products of abelian groups. In such a case we say that \mathcal{T} satisfies Brown representability for the dual.

^{*}Second named author supported by GAČR P201/10/P084, research project MSM 0021620839 and the DFG Schwerpunkt SPP 1388.

As noted in [5], there have recently been several results confirming Brown representability for various classes of triangulated categories. A lot of attention has been given to *well generated* triangulated categories; we refer to [14, p. 274] or [12, §6] for a precise definition. This is a wide class of triangulated categories and we have the following implications (cf. [14, Chapter 8]):

A triangulated functor $G : \mathcal{T} \to \mathcal{U}$ which sends coproducts in \mathcal{T} to coproducts in \mathcal{U} has a right adjoint.

However, it turned out that many naturally occurring algebraic triangulated categories are not well generated. In particular, the homotopy category $\mathbf{K}(\text{Mod-}R)$ is well generated if and only if R is a right pure semisimple ring, [20, Proposition 2.6]. Here we show that for this type of category, the second statement in the implication chain above also fails for a very formal reason. Postponing the explanation of the terminology to the next section, we can state:

Theorem 1 Let \mathcal{T} be a locally well generated triangulated category. Then \mathcal{T} satisfies Brown representability if and only if \mathcal{T} is well generated. In particular, if R is a ring which is not right pure semisimple, for instance $R = \mathbb{Z}$, then $\mathbf{K}(\text{Mod-}R)$ does not satisfy Brown representability.

When considering Brown representability for the dual, the situation is more delicate. First, it is still an open problem whether every well generated triangulated category has this property. However, [14, Theorem 8.4.4] dualizes smoothly and we have the implication:

 \mathcal{T} satisfies Brown representability for the dual.

↓

A triangulated functor $G : \mathcal{T} \to \mathcal{U}$ which sends products in \mathcal{T} to products in \mathcal{U} has a left adjoint.

Unfortunately, we do not have a theorem characterizing the categories which satisfy Brown representability for the dual and covering such a wide class of categories as Theorem 1. Nevertheless, we give a necessary condition for representability, which shows that many homotopy categories of complexes, including $\mathbf{K}(Ab)$, cannot satisfy Brown representability for the dual.

Namely, let \mathcal{B} be an additive category with products. For a subcategory $\mathcal{U} \subseteq \mathcal{B}$, we denote by $\operatorname{Prod}(\mathcal{U})$ the closure of \mathcal{U} in \mathcal{B} under products and direct summands. If $\mathcal{U} = \{X\}$ is a singleton, we write just $\operatorname{Prod}(X)$. Further, we say that \mathcal{B} has a

product generator if there exists $X \in \mathcal{B}$ such that $\mathcal{B} = \text{Prod}(X)$. We then obtain the following result:

Theorem 2 Let \mathcal{B} be an additive category with products. If $\mathbf{K}(\mathcal{B})$ satisfies Brown representability for the dual, then \mathcal{B} has a product generator. In particular $\mathbf{K}(Ab)$ does not satisfy Brown representability for the dual.

Finally, we touch the most delicate question, when a triangulated functor $G : \mathcal{T} \to \mathcal{U}$ has an adjoint. As a matter of the fact, the existence of adjoint functors can sometimes be proved even if Brown representability fails. This was done by Neeman [16], and other attempts have followed in [18, 11, 4]. Using recent results from [8, 19, 3] (and postponing the explanation of the terminology again), we give, however, a statement showing that the existence of adjoints does not come for free either:

Theorem 3 Let *R* be a countable ring and let \mathcal{D} be the class of all right flat Mittag– Leffler *R*–modules. Then $\mathbf{K}(\mathcal{D})$ is always closed under coproducts in $\mathbf{K}(\text{Mod-}R)$, but the inclusion functor $\mathbf{K}(\mathcal{D}) \to \mathbf{K}(\text{Mod-}R)$ has a right adjoint if and only if *R* is a right perfect ring. In particular, a right adjoint does not exist for $R = \mathbb{Z}$.

Acknowledgments: The first named author would like to thank Simion Breaz for indicating to him the argument showing that the category of abelian groups does not have a product generator, which is used in the proof of Theorem 2.

1. Representability for locally well generated triangulated categories

Let \mathcal{T} be a triangulated category and denote by \mathfrak{R} the (proper) class of all infinite regular cardinal numbers. In what follows we often need an increasing chain

$$\mathcal{S}_{\aleph_0} \subseteq \mathcal{S}_{\aleph_1} \subseteq \mathcal{S}_{\aleph_2} \subseteq \cdots \subseteq \mathcal{S}_{\kappa} \subseteq \dots$$

of skeletally small triangulated subcategories of \mathcal{T} indexed by \mathfrak{R} such that the union $\bigcup_{\kappa \in \mathfrak{R}} S_{\kappa}$ is the whole of \mathcal{T} .

Remark 4 Strictly speaking, it is not clear how to obtain such a chain in general using the axioms of ZFC alone. But there are two workarounds. First, if we work with a more concrete triangulated category, it may be possible to construct the chain directly. For example, if $\mathcal{T} = \mathbf{K}(\text{Mod-}R)$, then S_{κ} can be defined as the subcategory of all complexes formed by κ -presented modules. Second, if we insist on general \mathcal{T} , we can adopt some suitable axiomatization of set theory which allows us to well-order the universe of all sets (eg. the von Neumann-Bernays-Gödel set theory). Then we can easily construct the chain using the induced well-ordering of objects

of \mathcal{T} . The same applies to the proof of Proposition 5 below, where we strictly speaking use the Axiom of Choice for proper classes.

Given an arbitrary full subcategory $S \subseteq T$, we set

$${}^{\perp}\mathcal{S} = \{X \in \mathcal{T} \mid \mathcal{T}(X, S) = 0 \text{ for all } S \in \mathcal{S}\}$$
$$\mathcal{S}^{\perp} = \{X \in \mathcal{T} \mid \mathcal{T}(S, X) = 0 \text{ for all } S \in \mathcal{S}\}.$$

Note that if S is a triangulated subcategory of T, so are $^{\perp}S$ and S^{\perp} . Now we can formulate a simple but important obstruction to Brown representability.

Proposition 5 Let \mathcal{T} be a triangulated category with coproducts. Suppose that \mathcal{T} possesses an increasing chain $(\mathcal{S}_{\kappa} \mid \kappa \in \mathfrak{R})$ of skeletally small triangulated subcategories such that $\mathcal{T} = \bigcup_{\kappa \in \mathfrak{R}} \mathcal{S}_{\kappa}$ and $\mathcal{S}_{\kappa}^{\perp} \neq 0$ for all $\kappa \in \mathfrak{R}$. Then \mathcal{T} does not satisfy Brown representability.

Dually, suppose \mathcal{T} is triangulated, has products and has an increasing chain $(\mathcal{S}_{\kappa} \mid \kappa \in \mathfrak{R})$ of skeletally small triangulated subcategories such that $\mathcal{T} = \bigcup_{\kappa \in \mathfrak{R}} \mathcal{S}_{\kappa}$ and ${}^{\perp}\mathcal{S}_{\kappa} \neq 0$ for all $\kappa \in \mathfrak{R}$. Then \mathcal{T} does not satisfy Brown representability for the dual.

Proof: We prove only the first part, the other is dual. Choose for each $\kappa \in \mathfrak{R}$ an object $0 \neq Y_{\kappa} \in S_{\kappa}^{\perp}$. We consider the functor

$$F = \prod_{\kappa \in \mathfrak{R}} \mathcal{T}(-, Y_{\kappa}) : \mathcal{T} \longrightarrow \mathrm{Ab}.$$

Note that *F* is a well-defined functor. Indeed, recall that any $X \in \mathcal{T}$ is contained in \mathcal{S}_{κ} for some $\kappa \in \mathfrak{R}$, so $\mathcal{T}(X, Y_{\lambda}) = 0$ for all $\lambda \geq \kappa$ and the product defining *FX* is essentially set-indexed. Moreover, *F* is homological and sends coproducts to products.

Now we are essentially done, since if F were represented by some object in \mathcal{T} , it would have to be the product of $(Y_{\kappa} | \kappa \in \mathfrak{R})$ in \mathcal{T} , which cannot exist. To give a formal argument, assume for the moment that there is some $Y \in \mathcal{T}$ and a natural equivalence

$$\eta:\mathcal{T}(-,Y)\longrightarrow F.$$

For each $\kappa \in \mathfrak{R}$ we then have an idempotent natural transformation $\epsilon_{\kappa} : F \to F$ given as the composition

$$F \longrightarrow \mathcal{T}(-, Y_{\kappa}) \longrightarrow F$$

which, by the Yoneda lemma, induces an idempotent morphism $e_{\kappa} : Y \to Y$ in \mathcal{T} . Since $(\epsilon_{\kappa} \mid \kappa \in \mathfrak{R})$ is a proper class of pairwise orthogonal non-zero idempotent endotransformations of F, the collection $(e_{\kappa} \mid \kappa \in \mathfrak{R})$ would have to be a proper class of endomorphisms of Y with the same properties. This is absurd since $\mathcal{T}(Y,Y)$ is a set. Let us next explain the terminology necessary for Theorem 1.

Definition 6 Let \mathcal{T} be a triangulated category with coproducts. A full triangulated subcategory \mathcal{L} of \mathcal{T} is called *localizing* if it is also closed under taking coproducts in \mathcal{T} . Given a class of objects $S \subseteq \mathcal{T}$, we denote by LocS the smallest localizing subcategory of \mathcal{T} containing S.

The category \mathcal{T} is called *locally well generated* (in the sense of [20, Definition 3.1]) if for any set \mathcal{S} (not a proper class!) of objects of \mathcal{T} , Loc \mathcal{S} is well generated.

Now we are ready to give a proof of Theorem 1. For a more concrete construction of a non-representable functor $\mathbf{K}(Ab) \rightarrow Ab$, see Example 12 below. *Proof of Theorem 1:* If \mathcal{T} is well generated, or equivalently $\mathcal{T} = \text{Loc}S$ for some

set S, then Brown representability holds by [14, Proposition 8.4.2]. Let us, therefore, assume that T is *not* well generated.

As discussed above, we have an increasing chain $(S_{\kappa} | \kappa \in \mathfrak{R})$ of skeletally small triangulated subcategories of \mathcal{T} such that $\mathcal{T} = \bigcup_{\kappa \in \mathfrak{R}} S_{\kappa}$. Let us put $\mathcal{L}_{\kappa} = \text{Loc} S_{\kappa}$; by definition each \mathcal{L}_{κ} is well generated and our assumption ensures $\mathcal{L}_{\kappa} \subsetneq \mathcal{T}$. It follows from [14, 9.1.13 and 9.1.19] that each $X \in \mathcal{T}$ admits a triangle

$$\Gamma_{\kappa} X \longrightarrow X \longrightarrow L_{\kappa} X \longrightarrow \Gamma_{\kappa} X[1] \tag{(*)}$$

with $\Gamma_{\kappa} X \in \mathcal{L}_{\kappa}$ and $L_{\kappa} X \in \mathcal{S}_{\kappa}^{\perp}$. So given arbitrary $X \in \mathcal{T} \setminus \mathcal{L}_{\kappa}$ it follows $0 \neq L_{\kappa} X \in \mathcal{S}_{\kappa}^{\perp}$. Now we just apply Proposition 5.

Finally, the second part of the theorem follows from [20, 2.6 and 3.5]: If R is a ring which is not right pure semisimple, $\mathbf{K}(\text{Mod-}R)$ is locally well generated but not well generated.

2. Representability for the dual

In this section we discuss Brown representability for the dual and prove Theorem 2. Based on [13, Definition 2.24], we introduce the following concept:

Definition 7 Let \mathcal{B} be an additive category and \mathcal{X} a full subcategory. Given $M \in \mathcal{B}$, by an *augmented proper right* \mathcal{X} *–resolution* we understand a complex of the form

 $X_M: \qquad \dots \longrightarrow 0 \longrightarrow 0 \longrightarrow M \longrightarrow X^0 \longrightarrow X^1 \longrightarrow X^2 \longrightarrow \cdots,$

such that $X^i \in \mathcal{X}$ for all $i \ge 0$ and $\operatorname{Hom}_{\mathbf{K}(\mathcal{B})}(X_M, X'[n]) = 0$ for all $X' \in \mathcal{X}$ and $n \in \mathbb{Z}$.

A favorable fact is that such resolutions often do exist.

Lemma 8 Let \mathcal{B} be an additive category with products and splitting idempotents, let $X \in \mathcal{B}$ and put $\mathcal{X} = \operatorname{Prod}(X)$. Then any $M \in \mathcal{B}$ admits an augmented proper right \mathcal{X} -resolution $X_M \in \mathbf{K}(\mathcal{B})$. Moreover, $X_M = 0$ in $\mathbf{K}(\mathcal{B})$ if and only if $M \in \mathcal{X}$. *Remark* 9 The lemma is true also without \mathcal{B} having splitting idempotents, but we keep the assumption for the sake of simplicity.

Proof: We will construct the terms X^i of an augmented resolution

$$X_M: \dots \longrightarrow 0 \longrightarrow 0 \longrightarrow M \xrightarrow{d^{-1}} X^0 \xrightarrow{d^0} X^1 \xrightarrow{d^1} X^2 \xrightarrow{d^2} \cdots$$

by induction on *i*. We put $X^0 = X^{\text{Hom}_{\mathcal{B}}(M,X)}$ and take for d^{-1} the obvious morphism. Having constructed X^i for $i \ge 0$, we set

$$\mathcal{Z}_i = \{ f \in \operatorname{Hom}_{\mathcal{B}}(X^i, X) \mid f \circ d^{i-1} = 0 \},\$$

Then we can take $X^{i+1} = X^{\mathbb{Z}_i}$ and construct $d^i : X^i \to X^{i+1}$ in the obvious way.

For the second part, assume that $X_M = 0$ in $\mathbf{K}(\mathcal{B})$, so it is a contractible complex. In particular, $d^{-1}: M \to X^0$ splits, so $M \in \operatorname{Prod}(X) = \mathcal{X}$. The other implication is easy.

Now we show a consequence of non-existence of a product generator for \mathcal{B} , which is important in connection with Proposition 5.

Proposition 10 Let \mathcal{B} be an additive category with products and splitting idempotents. If \mathcal{B} does not have a product generator, then $^{\perp}S \neq 0$ in $\mathbf{K}(\mathcal{B})$ for every set (not a proper class!) $S \subseteq \mathbf{K}(\mathcal{B})$.

Proof: Suppose \mathcal{B} has no product generator and $\mathcal{S} \subseteq \mathbf{K}(\mathcal{B})$ is a set of complexes. Let $\mathcal{U} \subseteq \mathcal{B}$ be the set of all objects occurring in the components of complexes in \mathcal{S} , and let $\mathcal{X} = \operatorname{Prod}(\mathcal{U})$. Then clearly $\mathcal{S} \subseteq \mathbf{K}(\mathcal{X})$, so it suffices to show that $^{\perp}\mathbf{K}(\mathcal{X}) \neq 0$ in $\mathbf{K}(\mathcal{B})$.

To this end, we have $\mathcal{X} \subsetneq \mathcal{B}$ since \mathcal{B} has no product generator. Thus, we can take an object $M \in \mathcal{B} \setminus \mathcal{X}$ and construct, using Lemma 8, an augmented proper right \mathcal{X} -resolution X_M of M such that $X_M \neq 0$ in $\mathbf{K}(\mathcal{B})$. We would like to see that $X_M \in {}^{\perp}\mathbf{K}(\mathcal{X})$, but this has been proved by Murfet in [13, Proposition 2.27] (using crucially the fact that X_M is a complex which is bounded below).

Now we are ready to prove Theorem 2:

Proof of Theorem 2: First of all, we may without loss of generality assume that \mathcal{B} has splitting idempotents. If not, we replace \mathcal{B} by its idempotent completion $\tilde{\mathcal{B}}$ (see e.g. [2, §1]). Since $\mathbf{K}(\mathcal{B})$ has splitting idempotents by [14, Proposition 1.6.8 and Remark 1.6.9], it follows that the inclusion $\mathbf{K}(\mathcal{B}) \subseteq \mathbf{K}(\tilde{\mathcal{B}})$ is a triangle equivalence.

Next we suppose that \mathcal{B} has no product generator and prove the existence of a non-representable homological product-preserving functor $F : \mathbf{K}(\mathcal{B}) \to Ab$. Namely, we choose an increasing chain

$$\mathcal{S}_{\aleph_0} \subseteq \mathcal{S}_{\aleph_1} \subseteq \mathcal{S}_{\aleph_2} \subseteq \cdots \subseteq \mathcal{S}_{\kappa} \subseteq \dots$$

of skeletally small triangulated subcategories of $\mathbf{K}(\mathcal{B})$ indexed by \mathfrak{R} such that the union $\bigcup_{\kappa \in \mathfrak{R}} S_{\kappa}$ is the whole of $\mathbf{K}(\mathcal{B})$ (cf. Remark 4). Then, however, Proposition 10 ensures that ${}^{\perp}S_{\kappa} \neq 0$ in $\mathbf{K}(\mathcal{B})$ for each $\kappa \in \mathfrak{R}$, and so we are in the situation of Proposition 5, which asserts the existence of such a functor.

Finally, we must prove that Ab has no product generator. For this purpose, let us fix a prime number $p \in \mathbb{N}$. Using the notation from [9, §XI.65], we define inductively for every abelian group G and every ordinal σ :

$$p^{\sigma}G = \begin{cases} G, & \text{if } \sigma = 0\\ p(p^{\sigma-1}G), & \text{if } \sigma \text{ is non limit.} \\ \bigcap_{\rho < \sigma} p^{\rho}G, & \text{if } \sigma \text{ is limit.} \end{cases}$$

The *length* l(G) of the group G is then by definition the minimum ordinal λ such that $p^{\lambda+1}G = p^{\lambda}G$. Note that for any family $(G_i \mid i \in I)$ of abelian groups, we have the formula

$$l(\prod G_i) = \sup\{l(G_i) \mid i \in I\}.$$

Thus, to prove that Ab has no product generator, it suffices to construct abelian groups of arbitrary length. However, such families of groups are known. For instance Walker's groups P_{β} [21] (whose construction can also be found in [14, Construction C.2.1]) or generalized Prüfer groups [9, pp. 85–86].

Remark 11 The non-existence of a product generator for Mod-*R* seems to be a much more widespread phenomenon. If $X \in Mod-R$ is a product generator, then $Ext_R^1(M, X) = 0$ implies that *M* is projective for each $M \in Mod-R$. That is, *X* is a *projective test module* in the sense of [7, p. 408]. If *R* is not right perfect it is, however, consistent with ZFC + GCH that there are no projective test modules and in particular no product generators. We are grateful to the anonymous referee for making us aware of this fact.

We conclude the section with more concrete examples of non-representable (co)homological functors $\mathbf{K}(Ab) \rightarrow Ab$.

Example 12 Let us for each $\kappa \in \Re$ denote by \mathcal{A}_{κ} the full subcategory of Ab formed by all groups of cardinality smaller than κ , and put $\mathcal{T} = \mathbf{K}(Ab)$.

If we take for a given κ a group P_{κ} of length $\kappa + 1$ (e.g. Walker's group P_{κ} from [21]), then clearly $P_{\kappa} \notin \operatorname{Prod}(\mathcal{A}_{\kappa})$, since the length of any group from $\operatorname{Prod}\mathcal{A}_{\kappa}$ is at most κ . Thus, recalling the arguments above, we see that the augmented proper right $\operatorname{Prod}(\mathcal{A}_{\kappa})$ -resolution of P_{κ} , which we denote by Y_{κ} , is nonzero in **K**(Ab) and belongs to ${}^{\perp}\mathbf{K}(\operatorname{Prod}(\mathcal{A}_{\kappa}))$. In particular, the functor

$$F = \prod_{\kappa \in \mathfrak{R}} \mathcal{T}(Y_{\kappa}, -) : \mathcal{T} \longrightarrow \mathrm{Ab},$$

is a well-defined homological functor which sends products in \mathcal{T} to products of abelian groups, but it is not representable by an object of \mathcal{T} .

Let us also explicitly construct a contravariant non-representable functor. In fact, we will use the formally dual statement to Theorem 2 and its proof for this rather than Theorem 1. The key point is [6, Theorem 3.1] by Chase, which implies that for any uncountable $\kappa \in \mathfrak{R}$, we have $\mathbb{Z}^{\kappa} \notin \operatorname{Add}\mathcal{A}_{\kappa}$. Here, $\operatorname{Add}\mathcal{A}_{\kappa}$ denotes as usual the closure of \mathcal{A}_{κ} in Ab under taking direct sums and summands. Therefore, denoting by Y'_{κ} the augmented proper left $\operatorname{Add}(\mathcal{A}_{\kappa})$ -resolution of \mathbb{Z}^{κ} (with the obvious meaning), we can infer exactly as before that the functor

$$F' = \prod_{\kappa \in \mathfrak{R}} \mathcal{T}(-, Y'_{\kappa}) : \mathcal{T} \longrightarrow \mathrm{Ab},$$

is a well-defined cohomological functor which sends coproducts in \mathcal{T} to products of abelian groups, but it is not representable by an object of \mathcal{T} .

3. The non-existence of right adjoint

The final section is focused on Theorem 3, which is in fact an easy consequence of recent results from [8, 19, 3]. Let us explain the terminology. Given a ring R, a right R-module M is called *Mittag-Leffler* if the canonical map of groups

$$M \otimes_R \left(\prod_{i \in I} N_i\right) \longrightarrow \prod_{i \in I} (M \otimes_R N_i)$$

is injective for each family of left *R*-modules $(N_i | i \in I)$. This concept comes from [17].

Let \mathcal{D} be the class of all flat Mittag–Leffler *R*–modules. There are several characterizations of modules in \mathcal{D} already in work of Raynaud and Gruson [17], but the latest one is due to Herbera and Trlifaj, [10, Theorem 2.9]: Flat Mittag-Leffler modules coincide with so called \aleph_1 -projective modules. For $R = \mathbb{Z}$, this simply means that $G \in \mathcal{D}$ if and only if each countable subgroup of *G* is free, which is a special case of [1, Proposition 7] proved by Azumaya and Facchini. Let us now prove the last theorem.

Proof of Theorem 3: It is rather easy to see that \mathcal{D} is closed under direct sums and contains all projective modules.

Assume first that *R* is right perfect. Then \mathcal{D} coincides with the class of projective modules. In particular, $\mathbf{K}(\mathcal{D})$ is a well-generated triangulated category by [15, Theorem 1.1], so the inclusion $\mathbf{K}(\mathcal{D}) \to \mathbf{K}(\text{Mod-}R)$ has a right adjoint by [14, Theorem 8.4.4].

On the other hand, assume that $\mathbf{K}(\mathcal{D}) \to \mathbf{K}(\text{Mod-}R)$ has a right adjoint. Given any $G \in \text{Mod-}R$ and considering it as a stalk complex in degree 0, we have the counit of adjunction $\varepsilon_G : D \to G$. Let us take the *R*-module homomorphism $f = \varepsilon_G^0 : D^0 \to G$ in degree 0. Clearly $D^0 \in \mathcal{D}$ and it is easy to see that any *R*-module homomorphism $f' : D' \to G$ with $D' \in \mathcal{D}$ factors through f. That is, \mathcal{D} is what is usually called a precovering class in Mod-R. However, according to [3, Theorem 6], this implies for a countable ring R that it is right perfect.

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GEORGE CIPRIAN MODOI cmodoi@math.ubbcluj.ro

Babeş–Bolyai University Faculty of Mathematics and Computer Science 1, Mihail Kogălniceanu, 400084 Cluj–Napoca, Romania

JAN ŠŤOVÍČEK stovicek@karlin.mff.cuni.cz

Charles University in Prague Faculty of Mathematics and Physics Department of Algebra Sokolovska 83, 186 75 Praha 8 Czech Republic

Received: January 18, 2011